

# Lecture 27: vP Shells.

Andrei Antonenko

LIN 311: Syntax

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# Outline

- ① Ditransitive Verbs
  - Possible Analyses
  - Double Object Constructions
- ② Unaccusative Constructions
- ③ vP
  - Introducing vP-shells
  - vP-shells and Adverbs
  - Structure of Ditransitives
  - Extension and Problems

# Ditransitive Verbs

# One Remaining Problem

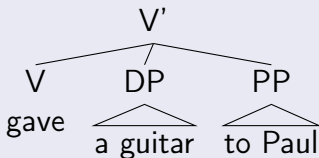
The main operation which is involved in structure building is **Merge**:

- Take **two** items,  $\alpha$  and  $\beta$ , and combine them.

**Problem:** We still have one construction where we seem to merge three things.

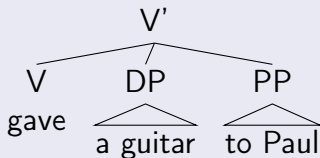
- (1) a. John gave a guitar to Paul.  
 b. Paul received a guitar from John.

- Verbs like *give* and *receive* are **ditransitive**: they have **two internal arguments**.

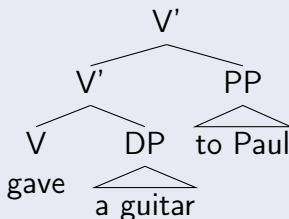


# Ditransitive Verbs

So far, we used a **ternary branching** structure, where V' had **three daughters**.



But we can have another structure with **binary branching**:



# Constituency Tests

Binary branching structure predicts that verb and object form a constituents. Is that so?

- **Movement:**

- (2) a. John said that he would give a guitar to Paul, and [give a guitar to Paul] he did.
- b. \*John said that he would give a guitar to Paul, and [give a guitar] he did to Paul.

- **Coordination:**

- (3) John gave a guitar and sent a new song to Paul.

While it seems like an ok-ish sentence, there must be some special pauses to make it work. Maybe something else going on here (deletion?).

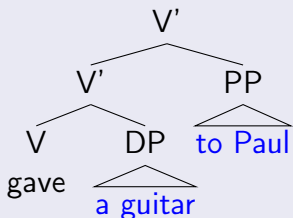
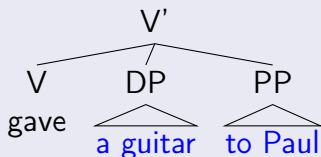
# Constituency tests

So, it seems like **V+object** is not a very good constituent, but:

- **Coordination:**

(4) John gave **a guitar to Paul** and **drums to Ringo**.

Can any of the structures we proposed above predict that?

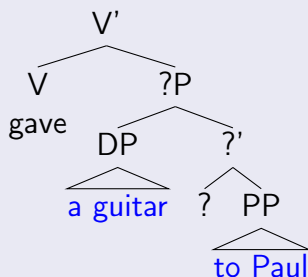


Neither of these structures has **DP + PP** as a constituent!

# Alternative structure

## Strange alternative

There is an alternative structure we can consider:



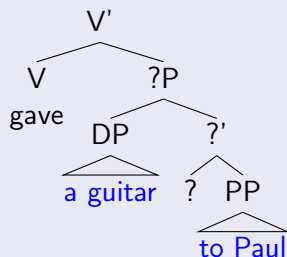
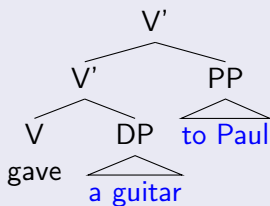
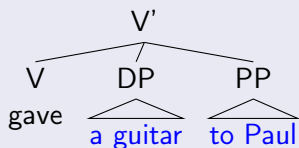
- There is a strange **?P** in this structure — what is the nature of it?



# Three hypotheses

## Possible structures for ditransitives

(5) John gave a guitar to Paul.



- So far it is not so easy to distinguish between these three constructions.
- Need additional evidence!

# Double object constructions

**Double Object Constructions:** Ditransitives without PP, but with two DPs.

- (6)
- John gave Paul a guitar.
  - Pete sent Roger a new song.
  - Bob showed the audience his back.

Two types of objects:

- **Direct Object:** THEME — *a guitar, a new song, his back.*
- **Indirect Object:** GOAL — *Paul, Roger, the audience.*

# Double object constructions

## Coordination

Coordination facts seem to be similar:

- (7) a. John gave Paul a guitar and Ringo drums.  
b. Pete sent Roger a new song and Keith a new record.

## Reflexives

But there is an interesting asymmetry with respect to anaphors:

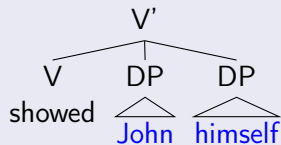
- (8) a. Sally showed John<sub>i</sub> himself<sub>i</sub> in the mirror.  
b. \*Sally showed himself<sub>i</sub> John<sub>i</sub> in the mirror.

- What do the three structures predict about the grammaticality of these sentences?

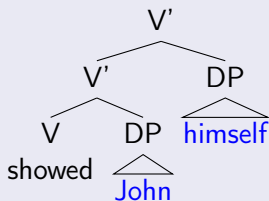
# Double object constructions: Anaphor binding

## Grammatical example

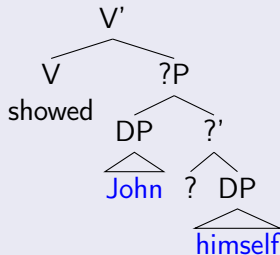
(9) Sally showed **John**; **himself**; in the mirror.



Prediction: ✓  
No Problem



Prediction: ✗  
Problem!

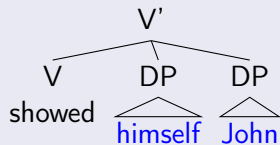


Prediction: ✓  
No Problem!

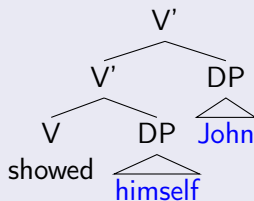
# Double object constructions: Anaphor binding

## Grammatical example

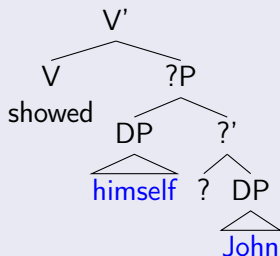
(10) \*Sally showed himself; John; in the mirror.



Prediction: ✓  
Problem



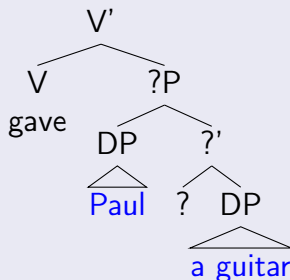
Prediction: ✓  
Problem!



Prediction: ✗  
No Problem!

# Double object constructions

- The only structure which makes correct predictions for **Double object constructions** is the last one:



- Note, **the ditransitive construction with a PP** will also work with **ternary branching** analysis — check it yourself!

# Unaccusative Constructions

# Unaccusative constructions

**Reminder:** Unaccusative verbs allow the **THEME** argument to be either a subject or an object:

- (11) a. The door closed.  
b. Sue closed the door.
- (12) a. The ball rolled.  
b. James rolled the ball.



# Ditransitive unaccusative constructions

Some **unaccusative constructions** are also **ditransitive**:

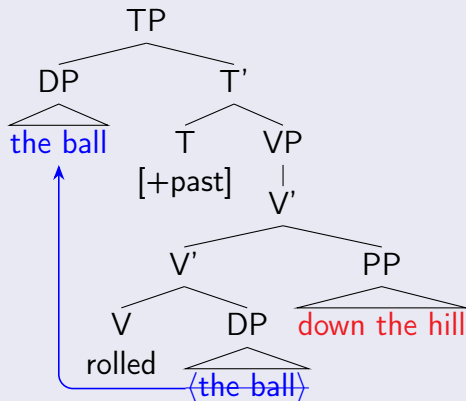
- (13)
- John rolled [the ball] [down the hill].
  - Sally filled [the bath] [with water].
  - Chris broke [the vase] [into pieces].

- Both bracketed constituents are **complements** to the verb.
- Coordination is also similar to ditransitive constructions:

- (14)
- John rolled [the ball] [down the hill] and [the acorn] [up the mountain].
  - Sally filled [the bath] [with water] and [the sink] [with acid].

# Unaccusative constructions: Reminder

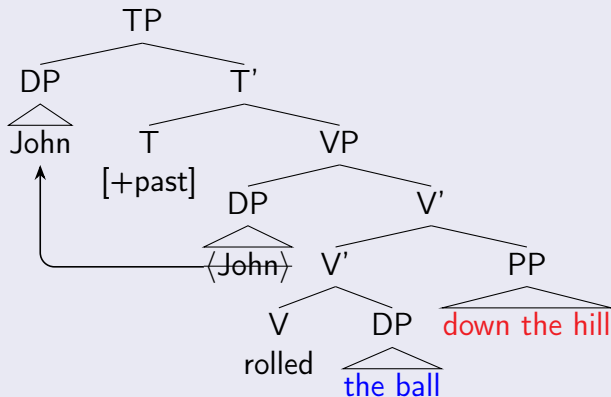
The derivation of an **unaccusative construction**:



**Problem:** How do we get constituent *"the ball down the hill"*?

# Unaccusative constructions: Reminder

The derivation of an **unaccusative construction**:

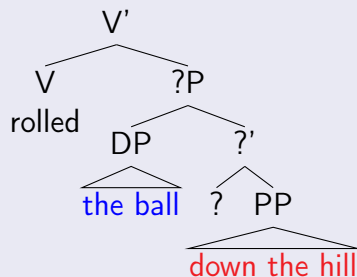


**Problem:** How do we get constituent *"the ball down the hill"*?

# Constituency in unaccusative constructions

(15) John rolled *the ball* *down the hill*.

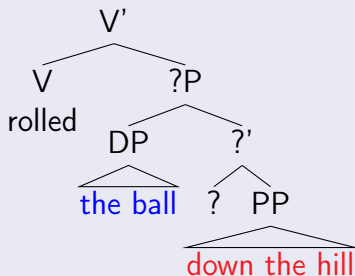
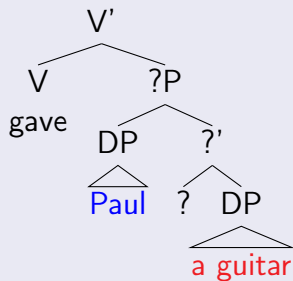
- We saw that “*the ball down the hill*” forms a constituent.
- Very similar to ditransitive constructions!
- Similar solution:



vP

# Ditransitive structures so far

- So far we saw that in ditransitive constructions we need to postulate a structure of the following type:



**Question:** What is ?P?

# vP-shell

## Introducing v

Let us first look at **unaccusative constructions**.

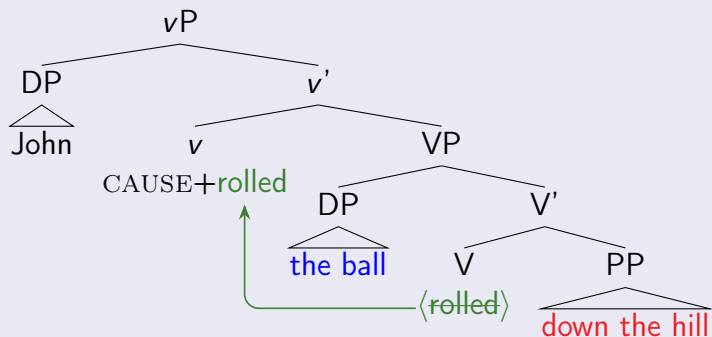
- Assume that the verbs starts at the **? position**, and **?P is in fact a VP**: [<sub>VP</sub> **the ball** rolled **down the hill**].
- This VP is merged with the **light verb v**, also known as “**little v**”.
- This **little v** has **causative interpretation**:

(16) John rolled the ball down the hill =  
 John **caused** the ball roll down the hill.

- Main verb then **moves and adjoins to v**.
- **vP** is known as a **vP-shell**.

## vP structure

(17) John rolled the ball down the hill.



- As before, after vP is completed, it merges with T, and eventually the subject moves to Spec,TP: **nothing new!**



# Support from French

## French

(18) John rolled the ball down the hill.

- As we saw, in English, the structure of (18) has an unpronounced *v* with **causative meaning**:

(19) [<sub>VP</sub> John CAUSE+rolled [<sub>VP</sub> the ball rolled down the hill]]

- Interestingly, in **French**, such causative is pronounced:

(20) Jean fait rouler la balle en bas de la colline.  
 J. makes roll<sub>INF</sub> the ball in bottom of the hill  
 'John makes the ball roll down the hill.'

## Sidenote: Adverbs

Two positions for **adverb** placement:

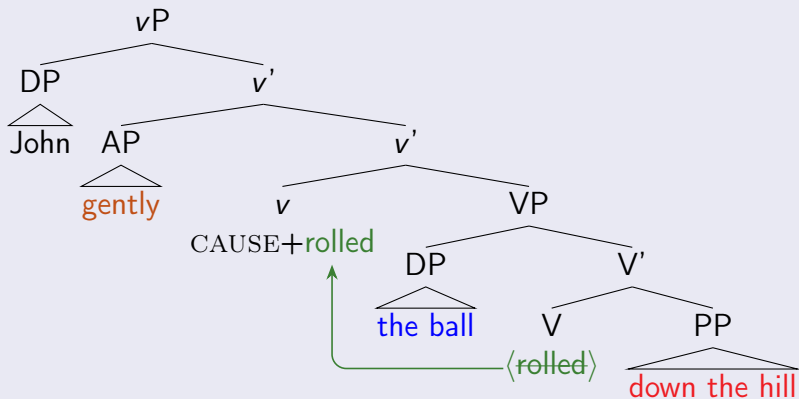
- (21) a. John **gently** rolled **the ball** **down the hill**.  
b. John rolled **the ball** **gently** **down the hill**.

- *Gently* is an adverb that can **adjoin** to either a vP or VP.

# Sidenote: Adverbs

## vP adjunction of adverbs

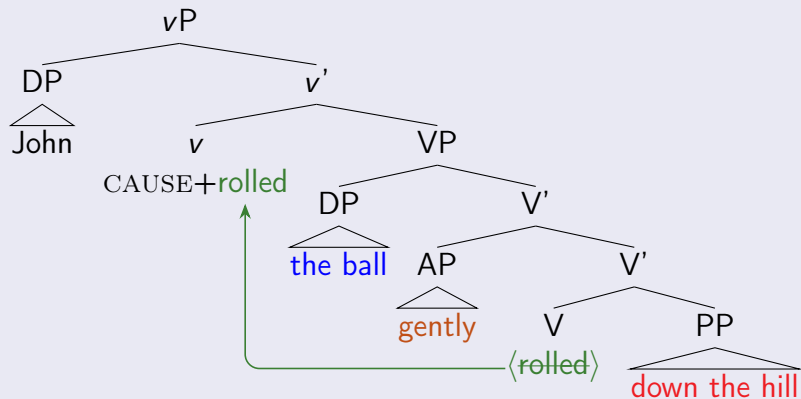
(22) John **gently** rolled the ball down the hill.



# Sidenote: Adverbs

## VP adjunction of adverbs

(23) John rolled the ball gently down the hill.



## Sidenote: Adverbs

Some adverbs allow only one position:

- (24) a. John *deliberately* rolled *the ball* *gently* down the hill.  
b. \*John *gently* rolled *the ball* *deliberately* down the hill.

- *Deliberately* is an adverb that can only *adjoin* to vP.
- It has an *agentive* meaning, so it can only be adjoined to an *agentive projection*, which is vP.

## Sidenote: Adverbs

Some adverbs allow only one position:

- (25) a. Mary jumped the horse perfectly over the last fence.  
b. \*Mary jumped perfectly the horse over the last fence.

- *Perfectly* is an adverb that can only *adjoin* to VP.

## Structure of ditransitives

The same analysis can be extended to both types of **ditransitive verbs**:

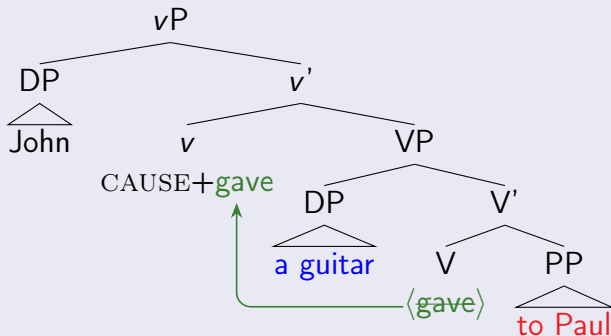
- (26) a. John gave a guitar to Paul.  
b. John gave Paul a guitar.

- The analysis also involves **little v**.
- The meaning of these sentences can also be rephrased with a **causative light verb**:

- (27) a. John **caused** a guitar to go to Paul.  
b. John **caused** Paul have a guitar.

## vP structure

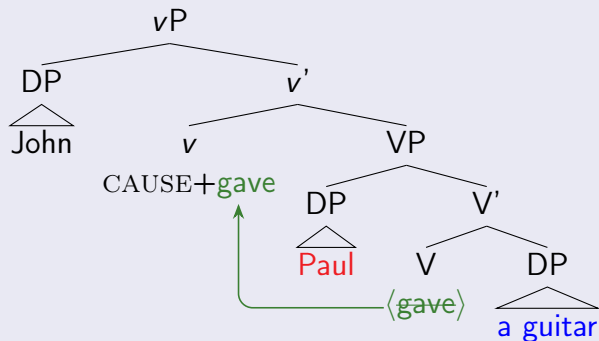
(28) John gave a guitar to Paul.





## vP structure

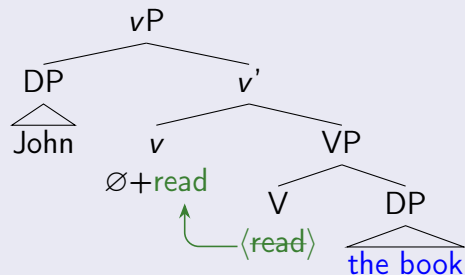
(29) John gave Paul a guitar.



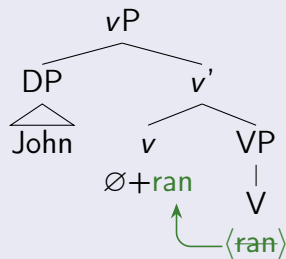
# Transitive/Unergative verbs

It is now assumed that the same structure is at work with **all verbs**, and not only **ditransitive**.

(30) John **read** the book.



(31) John **ran**.



# Some problems

- (32)
- John gave a guitar to Paul.
  - John gave Paul a guitar.

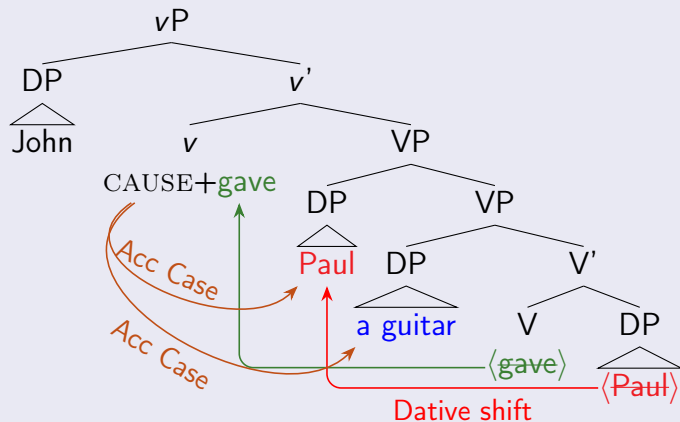
- The vP structure we adopt has many advantages:
  - Adverb placement
  - Binding in double object constructions
  - Binary merge
  - etc.
- However, there is a (potential) **Problem**:  
 **$\theta$ -roles are not assigned uniformly:**
  - In (32-a), the **GOAL** is a complement of V, and the **THEME** is in Spec,VP.
  - In (32-b), the **GOAL** is in Spec,VP, and the **THEME** a complement of V.

# Some problems

- There are numerous proposals in the current literature, trying to solve this (potential) problem, notably by [Richard Larson from SBU](#) (1988, 1990, and some recent work).
- The main goal is to show that [prepositional ditransitives](#) (*gave a guitar to Paul*) and [double object constructions](#) (*gave Paul a guitar*) are **related and derived from the same underlying structure**.
- His idea is to uniformly have the **GOAL** argument start as a complement of the verb, and move it to some intermediate position in Double Object constructions, deriving the correct word order.

# Indirect Object Raising

- According to Larson, the indirect object *Paul* raises to a position between *v* and the direct object to get an accusative case – process called **dative shift**.



# Indirect Object Raising

- If the preposition *to* is present, there is no need to move anything, since *Paul* gets an accusative pres
- case from *to*.

