

# Lecture 04-1: Heads and Complements. X-Bar Theory.

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LIN 311: Syntax

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# Outline

## ① Ambiguities

## ② Revising PSR

Revisiting NP Structure

Generalization about the Rules for NP

# Ambiguities

# Ambiguities

**Ambiguity:** when a sentence has two (or more) meanings.

**Paraphrase:** a restatement of the meaning of a sentence, used to disambiguate ambiguous sentences.

## Two types of ambiguity

**Lexical:** uses words with more than one meaning.

(1) John went to the bank.

*financial institution or side of the river?*

**Structural:** ambiguous due to the structure of the tree.

# Ambiguities

## Example

(2) The man put the book in the box on the table.

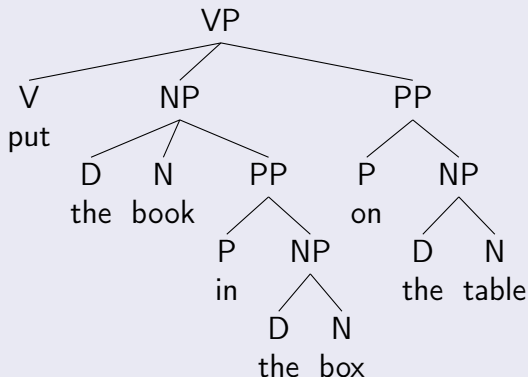
**Reading 1:** The man put the book (currently in the box) on the table. The box isn't on the table

**Reading 2:** The man put the book into the box. The box is on the table.

**Reading 3:** The man put the book into the box. This entire action was happening on the table.

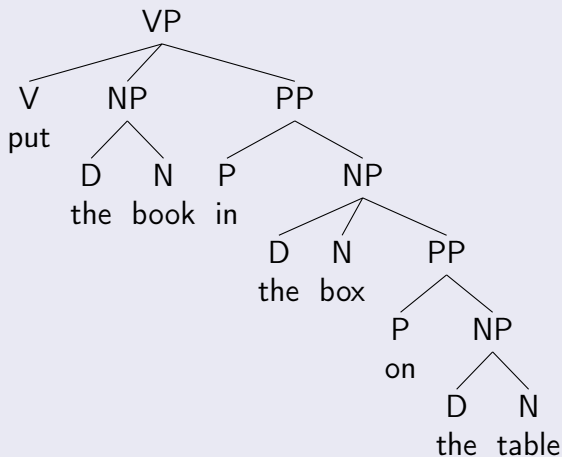
The man put the book in the box on the table.

Reading 1: Boxed book is put on the table.



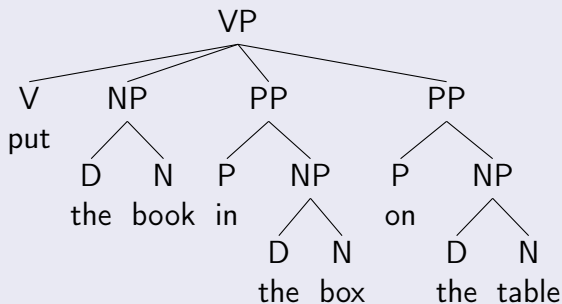
The man put the book in the box on the table.

Reading 2: The book is put in the box which is located on the table.



The man put the book in the box on the table.

Reading 3: The book is put in the box. It happened on the table.



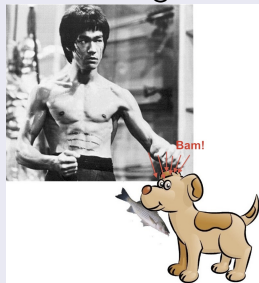


# Ambiguities

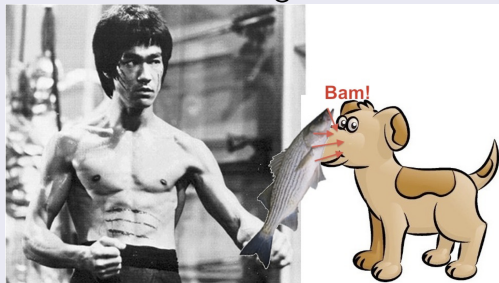
## Example

(3) Bruce hit the dog with the fish.

Reading 1:

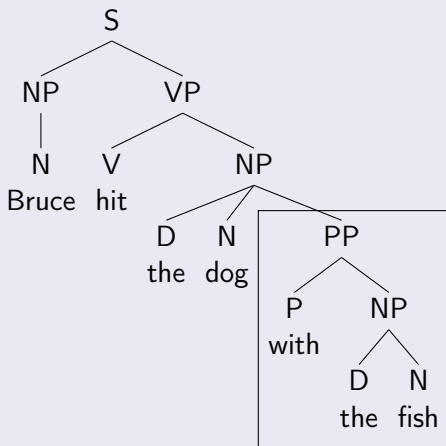


Reading 2:



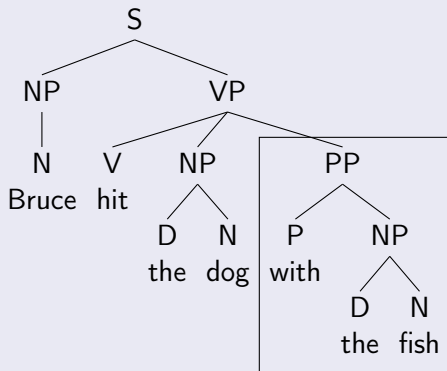
## Bruce hit the dog with the fish.

## Reading 1: Dog is holding a fish



## Bruce hit the dog with the fish.

## Reading 2: Bruce is using fish to hit the dog



## Ambiguities in the media

- (4)
- a. A great deal to get away from Hotels.com.
  - b. Doctor: no heart, cognitive issues (*about DJT*)
  - c. Jackson denied the allegation that he crashed a government vehicle while drunk on Wednesday evening.
  - d. Nashville Mayor Megan Barry apologized for having an affair with the head of her security detail on Jan. 31.
  - e. My parents opened doors and closed minds. (*tweet by Stella McCartney*)
  - f. Cameron Diaz encourages women to keep their pubic hair in her new book.
  - g. Mutilated body washes up on Rio beach to be used for Olympics beach volleyball.
  - h. North Carolina police kill unarmed deaf man using sign language.

# Ambiguities in the media

- (5)
- a. Man rattled by python found coiled up and hiding in his box of cornflakes.
  - b. CT high school slut shames students over “inappropriate” prom dresses.
  - c. Missing woman remains found.
  - d. A 49-year-old Santa Cruz man died late Thursday night while crossing Mission Street after being struck by a car.
  - e. Scientists count whales from space.
  - f. Researchers find 25 countries using surveillance software.
  - g. Cirencester teenager breaks jaw in alleged attack by kebab van.
  - h. Escaped wallaby caught using huge fishing net.
  - i. New York Jets ship toilet rolls to UK
  - j. Qaddafi forces bear down on strategic town as rebels flee.

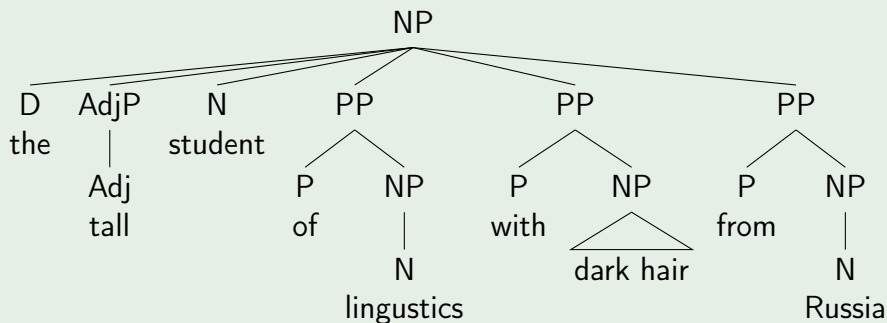
# Revising PSR

# Revisiting NP structure

## NP Structure

Recall our rule for NP:  $NP \rightarrow (D) (AdjP^*) N (PP^*)$

The tall student of linguistics with dark hair from Russia.



# Revisiting NP structure

(6) The tall student [of linguistics] [with dark hair] [from Russia].

- (7)
- \*The tall student [with dark hair] [of linguistics] [from Russia].
  - \*The tall student [from Russia] [of linguistics] [with dark hair].
  - The tall student [of linguistics] [from Russia] [with dark hair].

## Observation 1

Not all PPs inside NP are equal.

- of linguistics should be adjacent to student
- the remaining PPs can be switched



# Revisiting NP structure

## More noninterchangeable examples

- (8) a. a piece [of cake] [on a plate]  
b. \*a piece [on a plate] [of cake]
- (9) a. the president [of the USA] [with red hair]  
b. \*the president [with red hair] [of the USA]
- (10) a. the member [of the parliament] [with Russian wife]  
b. \*the member [with Russian wife] [of the parliament]

## Interchangeable examples

- (11) a. a book [about Vikings] [from the library]  
b. a book [from the library] [about Vikings]

# Revisiting NP structure

## Reminder: Replacement test

- The entire NPs can be replaced by pronouns: **replacement test** for constituency

- (12) a. I know **the smart student of linguistics from Russia with blonde hair**.
- b. Sophie also knows **her**.

# Revisiting NP structure

## Sub-constituents

Now let's try replacing parts of NP with **one**:

- (13)
- a. I know the [smart] [student of linguistics] [from Russia] [with blonde hair] and Sophie knows the [dumb] one [from Norway] [with dark hair].
  - b. I know the [smart] [student of linguistics] [from Russia] [with blonde hair] and Sophie knows the [dumb] [one] [with dark hair].
  - c. I know the [smart] [student of linguistics] [from Russia] [with blonde hair] and Sophie knows the [dumb] [one].

# Revisiting NP structure

## Sub-constituents

Now let's try replacing parts of NP with **one**:

- (14)
- a. I know the [smart] [student of linguistics] [from Russia] [with blonde hair] and Sophie knows the [one] [from Korea] [with dark hair].
  - b. I know the [smart] [student of linguistics] [from Russia] [with blonde hair] and Sophie knows the [one] [with dark hair].
  - c. I know the [smart] [student of linguistics] [from Russia] [with blonde hair] and Sophie knows the [one] too.

# Revisiting NP structure

## One-replacement is impossible:

- (15) a. \*I know the [smart] [student of linguistics] [from Russia] [with blonde hair] and Sophie knows [one].
- b. \*I know the [smart] [student of linguistics] [from Russia] [with blonde hair] and Sophie knows the [dumb] [one of physics] [from Iceland] [with red hair].

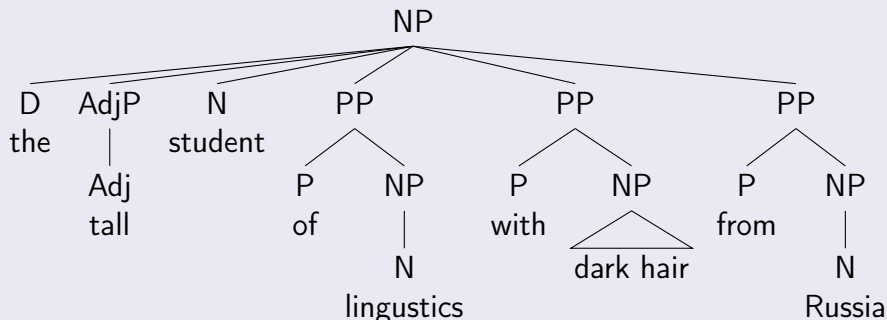
## Observation 2

- one cannot replace entire NP
- one cannot replace N
- one can replace any contiguous sequences of phrases inside NP, as long as it contains student of linguistics.

# Revisiting NP structure

It seems like it's impossible to account for all these constituents with **flat** structure as we had before

- In the structure we had before, it's either all or nothing!
- It also doesn't give a special status to PP **of linguistics**.



# Revisiting NP structure

## Intuition

We need multiple levels of the structure:

- [student] + [of linguistics]
- [[student] + [of linguistics]] + [with blonde hair]
- [[[student] + [of linguistics]] + [with blonde hair]] + [from Russia]

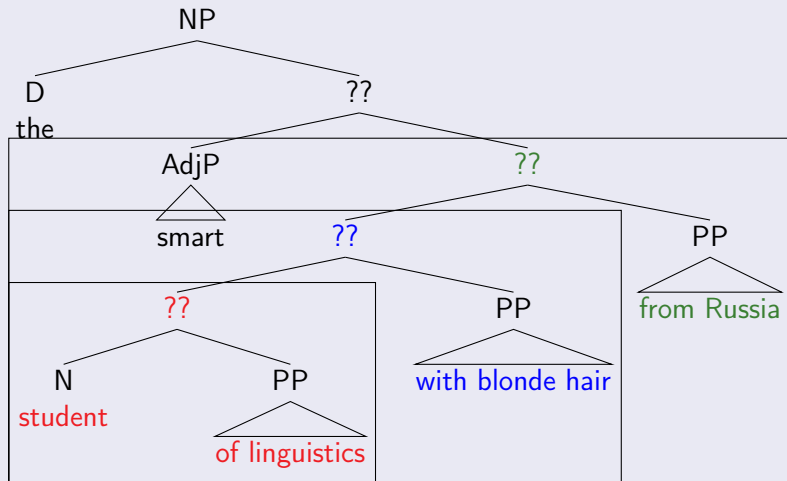
and if we use **smart**:

- [smart] + [[student] + [of linguistics]]
- [[smart] + [[student] + [of linguistics]]] + [with blonde hair]
- [[[smart] + [[student] + [of linguistics]]] + [with blonde hair]] + [from Russia]

**Solution:** Let's add phrases one by one to the tree, starting with **student of linguistics!**

(16) the smart student of linguistics with blonde hair from Russia

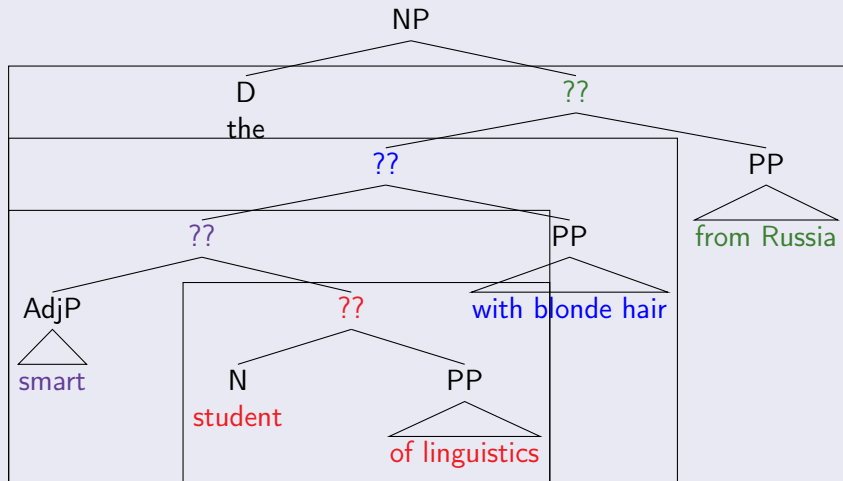
## Structure



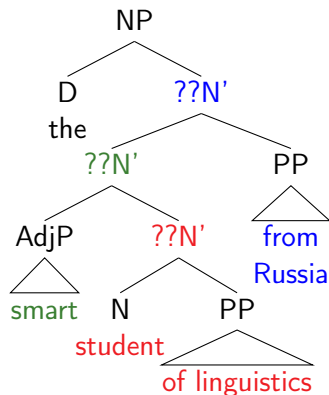


(17) the smart student of linguistics with blonde hair from Russia

## Structure



# Revisiting NP structure



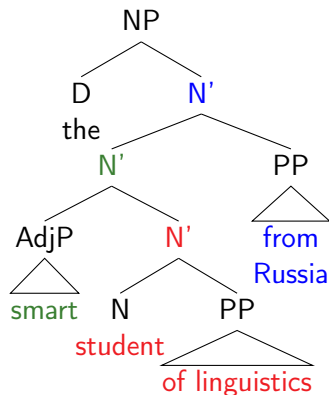
What kind of category is ???

- It is not **N**: N is just one word.
- It is not **NP**: NP can't be replaced with **one**, and ?? can.

## N' (N-bar)

Such **intermediate** categories are usually denoted using an apostrophe; in this case ?? is **N'** ("N-bar").

# Revisiting NP structure



## New rules for NP

create a phrase

$NP \rightarrow (D) N'$

recursive rules to add as many  
AdjPs and PPs as needed one at a  
time

$N' \rightarrow (AdjP) N'$

$N' \rightarrow N' (PP)$

introduce N

$N' \rightarrow N (PP)$

# Generalizations about the rules for NP

## Final NP rules

$$\text{NP} \rightarrow (\text{D}) \text{N}'$$
$$\text{N}' \rightarrow (\text{AP}) \text{N}'$$
$$\text{N}' \rightarrow \text{N}' (\text{PP})$$
$$\text{N}' \rightarrow \text{N} (\text{PP})$$

## Generalization 1

There are **three types of rules**:

- A rule that generates the phrase (NP)
- A rule that iterates N'
- A rule that introduces N

# Revisiting NP structure

## Final NP rules

$$\text{NP} \rightarrow (\text{D}) \text{N}'$$
$$\text{N}' \rightarrow (\text{AP}) \text{N}'$$
$$\text{N}' \rightarrow \text{N}' (\text{PP})$$
$$\text{N}' \rightarrow \text{N} (\text{PP})$$

## Generalization 2

- In each rule, the only **obligatory** item is the one associated with N: N or N'. Everything else is optional.
- There are no rules of the form  $\text{NP} \rightarrow \text{V} (\text{N}')$  or  $\text{NP} \rightarrow \text{AP V}$  (**endocentricity**).

# Revisiting NP structure

## Final NP rules

$NP \rightarrow (D) N'$

$N' \rightarrow (AP) N'$

$N' \rightarrow N' (PP)$

$N' \rightarrow N (PP)$

## Generalization 3

- Everything not N-related is a **phrase** and is **optional**.
- **D is an exception** to this rule. . . We'll revisit this problem later!